

Applicant: WALLMAN, Steven M.H.

Appl'n No.: 09/537,800

Group Art Unit: 3623

Filing Date: March 29, 2000

Examiner: DIAZ, S. M.

For: METHOD AND APPARATUS FOR  
CORPORATE VOTING

## MS Amendment

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Under the provisions of 37 C.F.R. § 1.105, Applicants would like to call to the Examiner's attention the references listed on the attached form PTO-1449, which represent the references cited to or by the Examiner during the prosecution of U.S. Patent No. 6,601,044 B1 and U.S. Patent No. 6,338,047 B1.

To avoid undue burden on the Examiner, Applicant has not provided paper copies of the extensive listed U.S. patents, which are easily accessible to the Examiner electronically. If, however, the Examiner desires hard copies of the U.S. patents, the Examiner would be asked to contact the undersigned who will arrange delivery of the hard copies.

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Since this is Applicants' first complete communication responding to this requirement, the fee and certification requirements of 37 C.F.R. § 1.97 are waived and no fee is believed due. However, the Office is hereby authorized to charge any additional fees required under 37 C.F.R. § 1.16 or § 1.17 or credit any overpayments to Deposit Account No. 11-0600.

**PATENT**

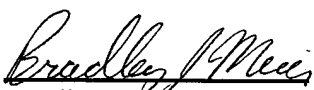
Appl'n No.: 09/537,800

Attny Dkt No.: 10392-46901

The Examiner is invited to contact the undersigned at 202-220-4200 to discuss any matter regarding this application.

Respectfully submitted,

Dated: May 3, 2004

By:   
Bradley J. Meier  
(Reg. No. 44,236)

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 4

## **Complete if Known**

Application Number	09/537,800
Filing Date	29 March 2000
First Named Inventor	WALLMAN, Steven M.H.
Group Art Unit	3623
Examiner Name	Diaz, S. M.
Attorney Docket Number	10392-46901

## **U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		4,346,442		MUSMANNO	08-24-1982	
		4,376,978		MUSMANNO	03-15-1983	
		4,566,066		TOWERS	01-21-1986	
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Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Attorney Docket Number	10392-46901

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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		WO	98/44443		WILLIAMS ET AL.	10-08-98		
		WO	98/44444		WILLIAMS ET AL.	10-08-98		
		WO	99/28845		JONES ET AL.	06-10-99		
		WO	00/14664		SCHIRRIPIA	03-16-00		
		WO	00/79363		WALLMAN	12-28-00		

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Date

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Examiner Name	Diaz, S. M.
Attorney Docket Number	10392-46901

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and-or country where published.	T <sup>3</sup>
		"Welcome to DRIP Central" at <a href="http://www.dripcentral.com">http://www.dripcentral.com</a> (2 pages), printed Feb. 20, 2001.	
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		J.Z. Money, 4/97, Vol 26, Issue 4, p 82, Apr. 1997.	
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		Robert Barker, A Capital-Gains Miracle Worker, Business Week, January 31, 2000, p.130.	
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